

Substitute for form 1449/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	10/634,144-Conf. #5095	
			Filing Date	August 4, 2003	
			First Named Inventor	Jin K. Lee	
			Art Unit	1615	
			Examiner Name	G. S. Kishore	
Sheet	1	of	4	Attorney Docket Number	TRA-006.01

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-4,451,447	05-29-1984	Kaplan et al.	
	AB*	US-4,590,001	05-20-1986	Sjornholm	
	AC*	US-4,767,874	08-30-1988	Shima et al.	
	AD*	US-4,889,724	12-26-1989	Kasan et al.	
	AE*	US-5,013,556	05-07-1991	Woodle et al.	
	AF*	US-5,019,369	05-28-1991	Presant et al.	
	AG*	US-5,049,388	09-17-1991	Knight et al.	
	AH*	US-5,117,022	05-26-1992	Khokhar et al.	
	AI*	US-5,320,906	06-14-1994	Eley et al.	
	AJ*	US-5,567,434	10-22-1996	Szoka, Jr.	
	AK*	US-5,616,334	04-01-1997	Janoff et al.	
	AL*	US-5,641,662	06-24-1997	Debs et al.	
	AM*	US-5,665,383	09-09-1997	Grinstaff et al.	
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	AR*	US-6,147,060	11-14-2000	Zasloff et al.	
	AS*	US-6,221,388	04-24-2001	Hersch et al.	
	AT*	US-6,352,996	03-05-2002	Cao et al.	
	AU*	US-6,419,901-A1	07-16-2002	Placke et al.	
	AV*	US-6,440,393-A1	08-27-2002	Waldrep et al.	
	AW*	US-6,451,784-B1	09-17-2002	Placke et al.	
	AX*	US-6,511,676	01-28-2003	Boulikas	
	AY*	US-6,599,912	07-29-2003	Au et al.	
	AZ*	US-6,669,958	12-30-2003	Trager et al.	
	AA1*	US-6,723,338	04-20-2004	Sarris et al.	
	AB1*	US-6,726,925	04-27-2004	Needham	
	AC1*	US-6,787,132	09-07-2004	Gabizon et al.	
	AD1*	US-6,793,912-A1	09-21-2004	Pilkiewicz et al.	
	AE1*	US-6,852,334	02-08-2005	Cullis et al.	
	AF1*	US-7,025,988	04-11-2006	Zadi	
	AG1*	US-7,063,860	06-20-2006	Chancellor et al.	

Examiner Signature	Date Considered
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		Number-Kind Code <sup>2</sup> (if known)			
	AH1*	US-RE33,071	09-26-1989	Stjernholm	
	AI1*	US-2002/0009415	01-24-2002	Batlich et al.	
	AJ1*	US-2002/0110586	08-15-2002	Madden et al.	
	AK1*	US-2002/0187105-A1	12-12-2002	Zou et al.	
	AL1*	US-2003/0059375-A1	03-27-2003	Perez-Soler et al.	
	AM1*	US-2003/0099718	05-29-2003	Burrell et al.	
	AN1*	US-2004/0101553	05-27-2004	Lee et al.	
	AO1*	US-2005/0074499	04-07-2005	Tagawa et al.	
	AP1*	US-2005/0207987	09-22-2005	Speirs et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>3</sup> -Number <sup>2</sup> -Kind Code <sup>2</sup> (if known)				
	BA	JP-2001-501173	01-30-2001			
	BB	WO-98/07409	02-26-1998			
	BC	WO-98/29110	07-09-1998			
	BD	WO-99/15153	04-01-1999			
	BE	WO-00/27359	05-18-2000			
	BF	WO-03/015707	02-27-2003			
	BG	WO-2004/054499	07-01-2004			
	BH	WO-2005/037341	04-28-2005			
	BI	WO-2005/089448	09-29-2005			

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Boulikas, T., "Low toxicity and anticancer activity of a novel liposomal cisplatin (Lipoplatin) in mouse xenografts," <i>Oncology Reports</i> , 12:3-12 (2004)	
	CB	Chi et al., "Elimination of Dose Limiting Toxicities of Cisplatin, 5-Fluorouracil, and Leucovorin Using a Weekly 24-Hour Infusion Schedule for the Treatment of Patients with Nasopharyngeal Carcinoma," <i>Cancer</i> , 76(11):2186-2192 (1995)	
	CC	Cisplatin Injection, Drug Information Label, June 2004	
	CD	Comis, Robert L., "Carboplatin in the Treatment of Non-Small Cell Lung Cancer: A Review," <i>Oncology</i> , 50(Suppl 2):37-41 (1993)	
	CE	Comis, R.L., "Carboplatin in the treatment of non-small cell lung cancer: a review," <i>Oncology</i> , 50(2):37-41 (1993) (Abstract)	
	CF	Devarajan et al., "Low Renal Toxicity of Lipoplatin Compared to Cisplatin in Animals," <i>Anticancer Research</i> , 24:2193-2200 (2004)	
	CG	Embreé et al., "Chromatographic Analysis and Pharmacokinetics of Liposome-Encapsulated Doxorubicin in Non-Small-Cell Lung Cancer Patients," <i>Journal of Pharmaceutical Sciences</i> , 82(6):627-634 (1993)	
	CH	Fujita et al., "Respiratory failure due to pulmonary lymphangitis carcinomatosa," <i>Chest</i> , 103(3):967-968 (1993)	
	CI	Hoffman et al., "Lung Cancer," <i>The Lancet</i> , 355:479-485 (2000)	
	CJ	Hojo et al., "A case of adenocarcinoma of lung cancer with multiple brain metastasis and lymphangitis carcinomatosa responding well to chemotherapy with carboplatin, etoposide and ifosfamide," <i>Gan to Kagaku</i> , 19(14):2403-6 (1992) Abstract	
	CK	Kinoshita, A., "Investigation of Cisplatin Inhalation Chemotherapy Effects on Mice after Air Passage Implantation of FM3A Cells," <i>Journal of Japan Society for Cancer Therapy</i> , 28(4):705-715 (1993)	
	CL	Knight et al., "Anti-Cancer Activity of 9-Nitrocarnitrothecin Liposome Aerosol in Mice," <i>Transactions of the American Clinical and Climatological Association</i> , Vol. III, pp 135-145 (2000)	
	CM	Koshkina et al., "9-Nitrocarnitrothecin Liposome Aerosol Treatment of Melanoma and Osteosarcoma Lung Metastases in Mice," <i>Clinical Cancer Research</i> , 6(7):2876-2880 (2000)	
	CN	Lehninger et al., <i>Principles of Biochemistry</i> , Worth Publishers: New York, pp. 111-114, 134-135, 240-245, 247, 249-252, 256-259, and 262	
	CO	Leighl et al., "A Phase I Study of Pegylated Liposomal Doxorubicin Hydrochloride (Caelyx <sup>TM</sup> ) in Combination with Cyclophosphamide and Vincristine as Second-Line Treatment of Patients with Small-Cell Lung Cancer," <i>Clinical Lung Cancer</i> , 5(2):107-112 (2003)	
	CP	Liams et al., "Clinical Features of Patients with Stage IIIB and IV Bronchioloalveolar Carcinoma of the Lung," <i>Cancer</i> , 86:1165-1173 (1999)	

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	CQ	Okuyama et al., "Reinforcing Aerosol Cisplatin for Radiotherapy of Laryngeal Cancer," Tohoku Journal of Experimental Medicine, 169(3):253-255 (1993)	
	CR	Platinol <sup>®</sup> -AQ (cisplatin injection), Drug Information Label, 1999	
	CS	Poster and Oral Presentation: Chou et al., "Phase Ib/IIa study of sustained release lipid inhalation targeting cisplatin by inhalation in the treatment of patients with relapsed/progressive osteosarcoma metastatic to the lung," Journal of Clinical Oncology, 2007 ASCO Annual Meeting Proceedings, Part I, 25(18S)	
	CT	Poster: Perkins et al., "An Inhalation Formulation of Liposomal Cisplatin (SLIT™)Cisplatin for Treatment of Lung Cancer," Lipids, Liposomes & Biomembranes 2005: New Technologies July 26-30, University of British Columbia, Vancouver, Canada, p. 78	
	CU	Poster: Wittgen et al., "Phase I study of aerosolized SLIT cisplatin in the treatment of patients with carcinoma of the lung," Journal of Clinical Oncology, 2006 ASCO Annual Meeting Proceedings, Part I, 24(18S)	
	CV	Poster: Zou et al., "Pharmacokinetics and organ distribution of liposomal cisplatin administered intravenously and intraperitoneally," Proceedings American Association Cancer Research 2005: 45, abs LB-268	
	CW	Schwartzmann et al., "A Phase I Trial of Cisplatin Plus Decitabine, a New DNA-Hypomethylating Agent, in Patients with Advanced Solid Tumors and a Follow-Up Early Phase II Evaluation in Patients with Inoperable Non-Small Cell Lung Cancer," Investigational New Drugs, 18(1):83-91 (2000)	
	CX	Steenenberg et al., "Liposomes as Drug Carrier System for cis-diamminedichloroplatinum (II)," Cancer Chemother. Pharmacol., 21:299-307 (1998)	
	CY	Stathopoulos et al., "Paclitaxel combined with cis-platin as second-line treatment in patients with advanced non-small cell lung cancers refractory to cis-platin," Oncology Reports, 6:797-800 (1999)	
	CZ	Stathopoulos et al., "Pharmacokinetics and adverse reactions of a new liposomal cisplatin (Lipoplatin): Phase I study," Oncology Reports, 13:589-595 (2005)	
	CA1	Vokes et al., "A Phase I Study of STEALTH Cisplatin (SPI-77) and Vinorelbine in Patients with Advanced Non-Small-Cell Lung Cancer," Clinical Lung Cancer, 2(2):128-132 (2000)	
	CB1	Wittgen et al., "Assessing a System to Capture Stray Aerosol during Inhalation of Nebulized Liposomal Cisplatin," Journal of Aerosol Medicine, 19(3):385-391 (2006)	
	CC1	Wittgen et al., "Phase I Study of Aerosolized SLIT Cisplatin in the Treatment of Patients with Carcinoma of the Lung," Clin. Cancer Res., 13(8):2414-2421 (2007)	

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